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(NASA-CASE-NPO-17856-1-00) METHOD OF  
FORMING THREE-DIMENSIONAL SEMICONDUCTOR  
STRUCTURES Patent Application (NASA) 15 p  
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JPL Case No. 17835

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# METHOD OF FORMING THREE-DIMENSIONAL SEMICONDUCTOR STRUCTURES

## AWARDS ABSTRACT

Prior art semiconductor structures use only thin planar depositions of conductive and insulating materials defined in their lateral dimensions by photolithographic techniques. The process of this invention permits the development of three-dimensional conductive and insulative structures defined in their lateral dimensions by process parameters thus allowing new kinds of electronic devices.

Using molecular beam epitaxy, a conductive material, such as metal or semiconductor, is coevaporated with a second semiconductor onto a chemically compatible substrate as in Figure 1. For example, on a silicon substrate, cobalt and silicon may be coevaporated onto a heated substrate. A large excess of evaporated silicon causes vertical columns of cobalt disilicide 16 to grow on substrate 10, as in Figure 2, surrounded by a matrix of single crystal silicon 14. The shapes and locations of the columns 16 can be chosen by seeding the substrate in selected areas with cobalt disilicide. Figures 5 and 8 show silicide seed areas 28 and 34 formed respectively by masking techniques and recrystallization of amorphous silicon. Figure 11 shows silicide seed areas 48 formed by diffusion of pure cobalt into the silicon substrate.

This method allows new electronic devices to be designed such as an infrared sensor using a three-dimensional array of charge carrier producing cobalt disilicide particles suspended in a matrix of silicon as in Figure 12. The process makes possible the production of vertical structures only a few nanometers thick, two orders of magnitude smaller than the prior art, such as a quantum wire 88 as shown in Figure 15.

JPL3\262-B.abs

PRINT FIGURE 1

Serial	Q7/524959	
Date	5/18/90	
Contract No.	NAS7-913	
Contractor	Caltech/JPL	
Pasadena	CA.	91109
(City)	(State)	(Zip)

Docket No. 425-20-262  
JPL Case No. 17835  
NASA Case No. NPO-17835-1-CU

524,959	
5/18/90	
PATENT APPLICATION	
FILED	91-09
DESCRIPTION	(L-1)

METHOD OF FORMING THREE-DIMENSIONAL  
SEMICONDUCTOR STRUCTURES

Origin of the Invention

The invention described herein was made in the performance of work under a NASA contract, and is subject to the provisions of Public Law 96-517 (35 USC 202) in which the Contractor has elected not to retain title.

Technical Field

The present invention relates to the fabrication of electronic devices and integrated circuit devices by the deposition of circuit elements on a substrate such as silicon using the techniques of molecular beam epitaxy. More specifically, a new process is disclosed that allows the formation of circuit elements in three dimensions, rather than as planar layers, thus providing an entirely new class of structures.

Background of the Invention

The prior art recognizes molecular beam epitaxy (MBE) as the best process for depositing very thin layers of metal and semiconductor compounds onto substrates such as silicon. The MBE process uses an ultra-high vacuum chamber containing the substrate and one or more evaporation crucibles. The material to be deposited is heated in a crucible until the material vaporizes. Molecules of the vaporized material travel unimpeded through the vacuum in straight lines to the surface of the substrate. Because of their straight flight paths, the molecules are easily collimated into a controllable beam, by suitable apertures, so as to impinge on the substrate at a

selected rate and from a selected direction. Shutters may be interposed in the beam to block the beam for periods of time. Varying the heating of the crucible controls the rate of free molecule production.

5           The substrate is usually heated so that the arriving molecules remain mobile on the surface for a short time. Thus, each molecule has time to locate a preferred site upon which to attach so that a regular crystal growth is facilitated. In this way, very thin layers of single crystal  
10 or monocrystalline material may be deposited that are on the order of nanometers thick.

          To enhance single crystal growth, the deposited layer should have a natural crystal structure similar in shape and size characteristics to the crystal structure of the substrate  
15 so that epitaxial growth takes place. In other words, the regular crystal lattice of the substrate provides a template upon which the arriving atoms of deposited material are organized into a similar, regular, single crystal structure.

          Any substrate adaptable to the above outlined principles  
20 could profit from the process of this invention. For example, substrates may comprise silicon, germanium, or compound semiconductors such as gallium arsenide, indium arsenide and indium antimonide. However, the discussion herein is oriented to the most common and best understood substrate material  
25 which is silicon.

          The deposited conductor is usually selected to be chemically compatible with the chosen substrate and may be a metal and semiconductor compound or even a combination of two semiconductors such as silicon and germanium. A conductor for an  
30 indium antimonide substrate, for example, might be a compound of nickel and antimony. Once again, however, the discussion herein is focused on metal silicide deposits which are also

well known and characterized with respect to their structure and properties.

5 Metal silicides, combinations of metals such as cobalt, platinum, chromium, nickel, tantalum, or iridium with silicon, are good choices for the deposited layer on silicon substrates since they are chemically compatible with the silicon substrate. To deposit metal silicides, the metal and the silicon are coevaporated in separate crucibles at rates so as to im-  
10 ping on the silicon substrate in correct stoichiometric ratios to form the desired single crystal compound in a thin layer. For example, cobalt disilicide ( $\text{CoSi}_2$ ) is a well studied metal silicide conductor that is produced by MBE methods in which cobalt and silicon are coevaporated in a ratio of one cobalt atom for every two silicon atoms.

15 Prior art MBE methods control the thickness of the deposited layer by the length and rate of deposition. This affects only the dimension perpendicular to the substrate. Lateral dimensions, those parallel to the substrate surface, are controlled by lithographic techniques and limited to  
20 relatively large dimensions. The present invention, by contrast, provides a means whereby both vertical and lateral dimensions are controllable so as to permit the creation of a whole new class of three-dimensional MBE deposited devices not heretofore possible.

#### 25 Statement of the Prior Art

United States Patent 4,171,234 to Negata et al. discloses a process that avoids the use of masks during MBE by forming irregular shapes in the substrate. These shapes are used to  
30 shadow an incident molecular beam, at various angles, so as to modify the characteristics of the deposited crystalline layer. The kinds of epitaxial structures that can be created

this way are obviously quite limited. Beyond the height of the substrate mesas the deposited layer would revert to a planar layer of no distinction. The instant invention, however can begin with an ordinary planar substrate and develop a wide variety of three dimensional structures of almost any desired configuration.

United States Patent 4,099,305 to Cho et al. discloses a process similar to Negata above and subject to the same limitations.

### Summary of the Invention

The present invention contemplates a new MBE type process that produces column like structures that grow epitaxially from the substrate surface in a direction generally perpendicular thereto. The height, width, shape, and spacing of the columns are all selectable by modification of the processing parameters rather than by masking. A large variety of desired three dimensional shapes may be generated to make available an entire new set of electronic devices. Some of these new devices are described, by way of example, in order to emphasize the potential of this inventive technique.

Briefly, the new MBE process involves coevaporating metal and silicon in ratios well removed from stoichiometric with a large excess of silicon. Given the correct growth environment, vertical columns of single crystal metal silicide epitaxially form upward from the silicon substrate surface. The columns are embedded in a surrounding matrix of single crystal silicon. It has been determined that the spacing, thickness, and height of the columns may be chosen by varying the process parameters. In addition, the location and shape of the columns may be selected by seeding the substrate in the places where columns are desired.

Figure 13 illustrates how vertical columns may be tied together to create a three dimensional device, in this case, an infrared sensor.

Figure 14 depicts another possibility, a permeable base transistor.

Figure 15 depicts the development of a column so thin that quantum effects may be studied.

#### Detailed Description of the Invention

Figures 1 and 2 demonstrate the basic process of the invention. Figure 1 shows a small section of a silicon substrate 10 which is processed in an ultra-high vacuum MBE system chamber. Substrate 10 is cleaned by standard techniques well known to the art so as to produce an atomically clean surface 12. Substrate 10 is heated to a temperature such that arriving atoms of the deposition material have an interval of movement on surface 12 sufficient to locate the desired bonding sites. For the metal silicides, temperatures in the range of 640 to 800 degrees Centigrade have been successfully used. Cobalt disilicides will be utilized as the deposited material for purposes of illustrating and describing the invention. Of course, the subject of this invention is applicable to metal silicides generally. Also all other combinations of metal with semiconductor, or semiconductor with semiconductor, like silicon with germanium, are suitable for deposition as well depending on the selected substrate material.

For cobalt disilicide, cobalt and silicon are coevaporated in the MBE chamber and allowed to shower onto surface 12 as suggested by arrows in Figure 1. The ratio of cobalt to silicon is intentionally made non-stoichiometric with an excess of silicon. For example, ten silicon atoms may be directed to surface 12 for every cobalt atom. This silicon rich

ratio produces an unexpected and unique effect as shown in Figure 2.

In Figure 2, the cobalt atoms, being temporarily mobile on surface 12, preferentially seek out and coalesce with other cobalt disilicide molecules at locations 13. At first, locations 13 are random, but soon establish growth centers upon which columns 16 of single crystal cobalt silicide grow. The excess silicon forms a single crystal matrix 14 between and around columns 16. Matrix 14 is depicted as transparent with dashed lines 14 in the drawings to enhance clarity. Both the matrix 14 and the columns 16, once begun, grow generally vertically upward from surface 12 by epitaxial crystal formation. Sometimes, for different substrate crystallographic orientations, the columns grow upward at a non-perpendicular angle.

The height of columns 16 is, in principle, unlimited. The shape and locations of the columns are selectable as well. By raising the substrate temperature or decreasing the rate of deposition, the interval of mobility on surface 12 is lengthened so that columns are produced which are farther apart and have larger diameters. Alternatively, the ratio of silicon to metal may be changed. Less metal results in columns of the same spacing but lesser diameter. Shuttering the cobalt beam stops column growth when desired. New columns can then be initiated by unblocking the cobalt beam. Furthermore, locations 13 may be deliberately chosen and shaped as described in Figures 3-11.

In Figure 3, a fragment of silicon substrate 20 is shown. A planar layer of single crystal cobalt silicide 22 is formed on substrate 20 by conventional MBE methods. A standard photoresist layer 24 is patterned with electron beam lithography and selectively removed, as at 26, by conventional procedures. The exposed parts of the silicide, not protected by resist,



are removed by wet or dry etch techniques so as to produce the structure of Figure 4. Finally, the remaining resist is dissolved away, as in Figure 5, to leave behind regions 28 of cobalt silicide. Regions 28 have the desired shape and position to serve as nucleation sites upon which columns of cobalt silicide may be grown using the procedures described with respect to Figures 1 and 2.

Another method for initiating column growth in selected locations is shown in Figures 6-8. A room temperature substrate 30 receives a stoichiometric deposit of cobalt disilicide. The atoms freeze immediately to the surface to create an amorphous mixture of cobalt and silicon in a layer 32 (Fig. 6). An electron beam is used to write a desired pattern of crystallized regions 34 that epitaxially align with substrate 30 as in Figure 7. An acid etch step preferentially removes the amorphous material to once again leave behind regions 34 of single crystal silicide upon which vertical structures can nucleate as in Figure 8.

Figures 9-11 show a third and perhaps preferred embodiment of the invention. A layer of pure cobalt (or other metal) 42 is deposited on a substrate 40. Again using well known electron beam lithography techniques, a resist 44 protects selected areas of cobalt layer 42 while exposed areas 46 are removed to produce islands of cobalt 42 as shown in Figure 10. The Figure 10 structure is heated to a temperature in the range of 400-600 degrees C. This causes the cobalt to diffuse into the substrate surface to form areas of cobalt disilicide 48 upon which nucleation can be initiated. The advantage of this method is that the final structure, shown in Figure 11, is planar since the cobalt atoms do not substantially change the physical size of the silicon substrate crystal structure. The planar surface promotes more cleanly de-

finned column structures when the process of Figures 1 and 2 is used to grow epitaxial formations thereon.

Even free standing column structures may be produced by plasma etching the matrix embedded columns with  $\text{CF}_4$ , which attacks silicon at a rate about 100 times greater than silicide compounds. Hence, the silicon matrix (14 in Fig. 2) could be removed from about the columns leaving behind free standing structures.

Numerous new electronic devices are made possible by the advent of three dimensional epitaxially grown structures. A few examples are described in Figures 12-15.

Metal silicides are good optical and infrared sensing substances since they produce charge carriers in response to incident photons. The metal layer must be quite thin, however, for these charge carriers to reach the semiconductor and affect the current flow therethrough. Unfortunately, thin layers do not absorb very many photons. Prior art detectors which use planar layers of metal silicide on silicon are limited in sensitivity because of this tradeoff. The instant invention increases this sensitivity by allowing third dimensional expansion of the silicide material for a given cross section of incident photon flux. Figure 12 shows a substrate 50 upon which a matrix 52 of silicon is grown using MBE methods. Shuttering the cobalt beam on causes layers of growth 54 which include many short columns of silicide 58 suspended in matrix 52. Shuttering the cobalt beam off causes layers of growth 56 with no silicide. The three dimensional cloud of silicide particles is much more likely to intercept a photon in a given cross sectional flux of photons than a single layer as in the prior art. Thus, a layered internal photo-emission sensor may be built in which the current flow between contacts 60 is very sensitive to radiation. Each element of

silicide 58 is very thin giving a good internal yield of charge carriers, yet absorption is increased by a massive increase in the area of silicide per unit volume of the sensor.

5           Figure 13 shows another possibility, where columns 64 of silicide are grown in a matrix 68 deposited on a substrate 70 so as to present increased absorption surface to incident radiation. Columns 64 are joined together at the top by a layer of silicide 66 which can be deposited by conventional  
10           techniques.

          In Figure 14, a possible three dimensional permeable base transistor is disclosed wherein metal silicide column conductors 74 connected to a silicide base 76 mediate current flow between emitter and collector contacts 78.

15           Figure 15 demonstrates an experimental device with a substrate 80, a matrix 82, a layer of silicon oxide insulator 84, and a metal contact 86. A column of silicide 88 grown up from substrate 80 carries current between contacts 90. With the process of the present invention, columns having diameters  
20           of 10 to 250 nanometers have been produced. Both larger and smaller diameters are well within the capabilities of the process. Columns of only a few nanometers in diameter are primarily subject to quantum effects in the carrying of current. Thus, column 88 in Figure 15 comprises a quantum wire  
25           and these quantum effects can be studied.

          Three dimensional structures this small are two orders of magnitude smaller than has been possible in the prior art. Clearly, myriad new electronic devices and structures are possible using the principles of the instant invention.

30           It is to be realized that only preferred embodiments of the invention have been described and that numerous substitutions, modifications and alterations are permissible without

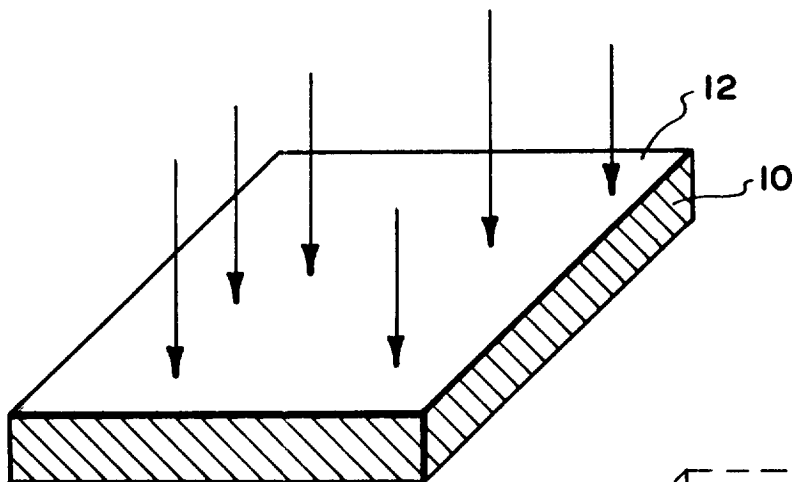
departing from the spirit and scope of the invention as defined in the following claims.

## ABSTRACT

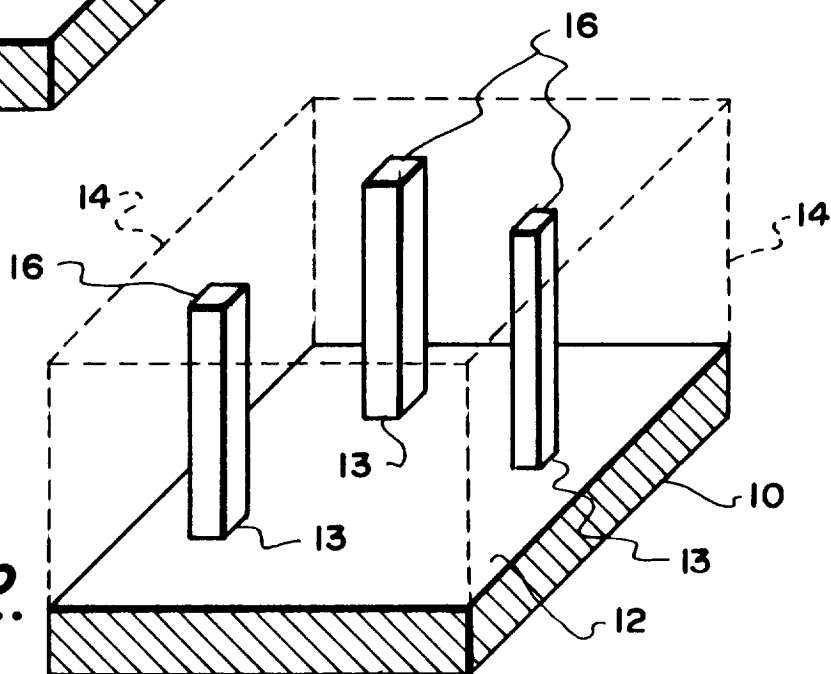
METHOD OF FORMING THREE-DIMENSIONAL  
SEMICONDUCTOR STRUCTURES

Silicon and metal are coevaporated onto a silicon substrate in a molecular beam epitaxy system with a larger than stoichiometric amount of silicon so as to epitaxially grow columns of metal silicide embedded in a matrix of single crystal, epitaxially grown silicon. Higher substrate temperatures and lower deposition rates yield larger columns that are farther apart while more silicon produces smaller columns. Column shapes and locations are selected by seeding the substrate with metal silicide starting regions. A variety of 3-dimensional, exemplary electronic devices are disclosed.

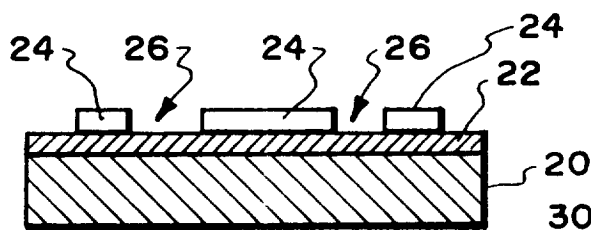
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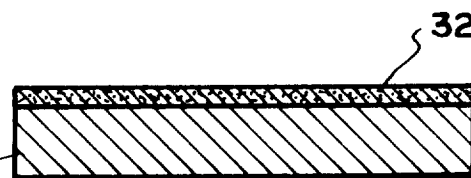
*Fig. 1.*



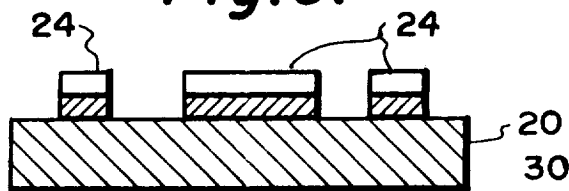
*Fig. 2.*



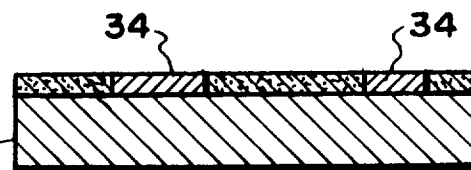
*Fig. 3.*



*Fig. 6.*



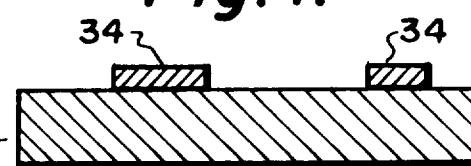
*Fig. 4.*



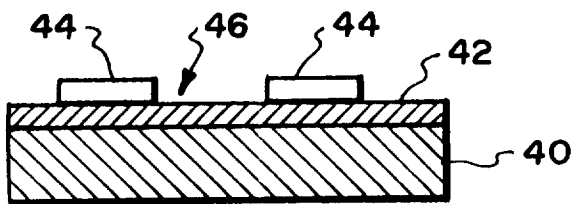
*Fig. 7.*



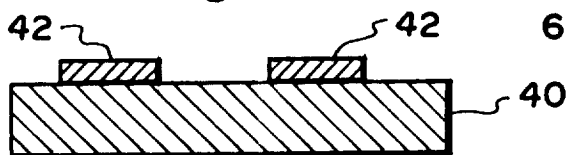
*Fig. 5.*



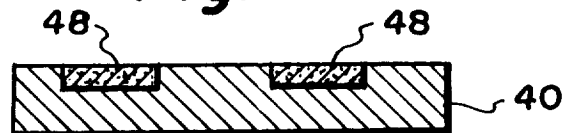
*Fig. 8.*



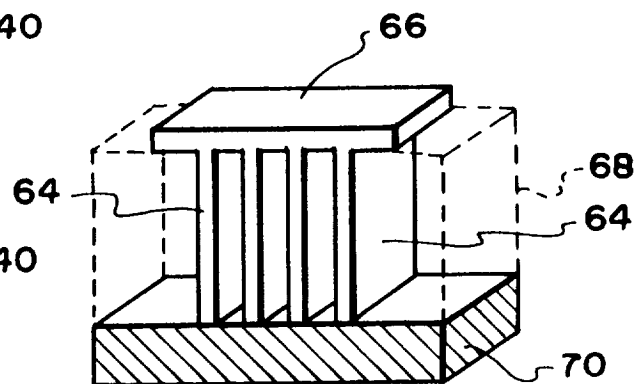
*Fig. 9.*



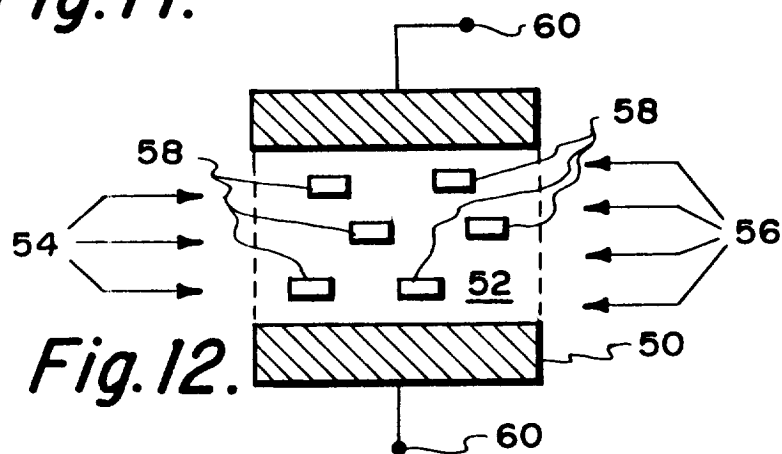
*Fig. 10.*



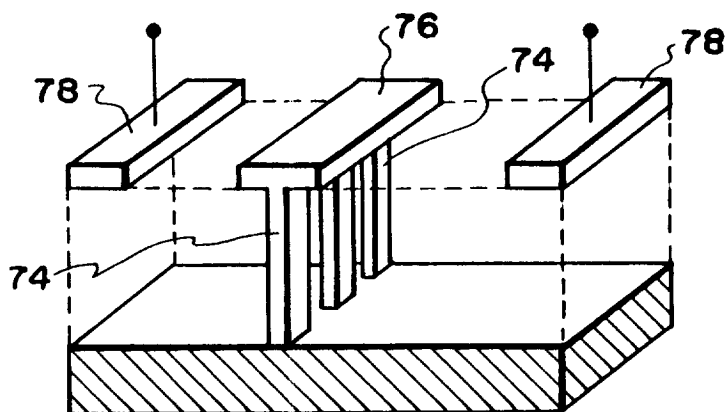
*Fig. 11.*



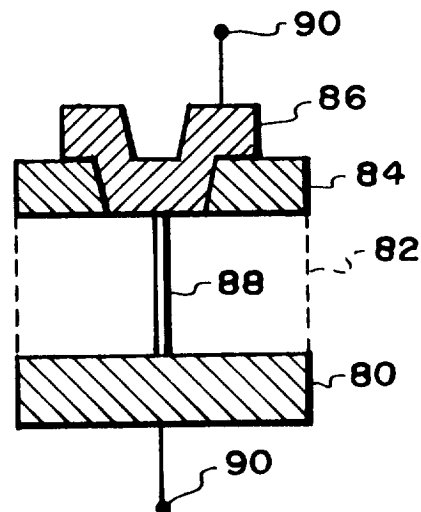
*Fig. 13.*



*Fig. 12.*



*Fig. 14.*



*Fig. 15.*